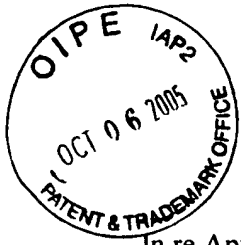


10-07-05

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 03-748)

In re Application of:

Fred Monroe et al.

Serial No.: 10/628,631

Filed: July 28, 2003

For: System and Method for Improved
Electronic Trading

Group Art Unit: 1762

Examiner: Bashore, Alain L.

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

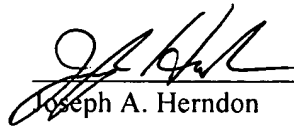
Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Response to Office Action mailed September 07, 2005
 - b) Return Postcard
2. With respect to fees:
 - a) No additional fee is required.
 - b) Please charge any underpayment or credit any overpayment to our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as Express Mail No. EV596646145US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this October 6, 2005.

Respectfully submitted,

Date: October 6, 2005


Joseph A. Herndon
Reg. No. 50,469



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 03-748)

IN THE APPLICATION OF:

Fred Monroe et al.

Serial No. 10/628,631

Filed: 07/28/2003

Title: System and Method For
Improved Electronic
Trading

Examiner: Bashore, Alain L.

Group Art Unit: 1762

RESPONSE TO THE OFFICE ACTION
MAILED SEPTEMBER 7, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner Bashore:

In response to the Office Action of September 7, 2005, Applicants submit the following:

Listing of the Claims begin on page 2 of this response.

Remarks begin on page 5 of this response.